

ESSENTIAL NEWBORN CARE

STANDARDS FOR NEWBORN CARE

This document is intended to provide guidelines to health service managers, doctors and nurses in charge of neonatal facilities when planning and improving facilities and services for newborns. The information is based on National Standards and work done in Limpopo Province by the Limpopo Initiative for Newborn Care (LINC) team



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LEVELS OF CARE AND BED NUMBERS

The Levels at which Newborn services are provided are described below as well as the number of beds for sick and small newborns at each facility. The number of neonatal beds¹ required is expressed as beds per 1000 live births. Table 1 shows the number of recommended beds found in the document “Health Plan for Neonatal Care” produced by the 1997 Priorities in Perinatal Care Conference.

Table 1. Recommended Neonatal Bed Numbers.

| Level of care | National Recommendation | Practical number used |
|---------------|----------------------------|------------------------|
| L I | 3 – 4 / 1000 live births | 4 / 1000 live births |
| L II | 2 – 3 / 1000 live births | 3 / 1000 live births |
| L III | 0.5 – 1 / 1000 live births | 0.5 / 1000 live births |

Table 2. Calculation the numbers

Level I: Level I services are provided at clinics and district hospitals

4 level I beds / 1000 births (clinic, hospital and home births) in the sub-district

And if level II services are not fully available

1 level II (HC) beds / 1000 births in the sub-district – in the district hospital

Level II : Level II services are provided at Regional hospitals

4 level I beds / 1000 births in the sub-district

3 Level II beds / 1000 births in the district

Level III : Level III services are provided at Tertiary hospitals

4 level I beds / 1000 births in the sub –district

3 level II beds / 1000 births in the district, if this hospital also provide level II services

0.5 level III beds / 1000 births in the province

Level IV

Level IV services are provided at Central Hospitals and include complex surgery and investigations. Additional bed may be needed, as this service should span across provincial boundaries.

Every hospital has a neonatal unit or nursery for sick and small babies. The extent of the unit depends on the level of care provided and number of deliveries in the area served. The unit is ideally kept in one area, but can be divided or partitioned into the following grades of care: Kangaroo mother care (KMC), Standard care (SC), High care (HC), and Intensive care (ICU). If you cannot include high care beds in your renovations, neonates requiring high care must be accommodated in the main HC and ICU. Well newborns are roomed in with their mothers and never enter the neonatal unit / nursery.

Table 3: Levels of Care

| Level of care | Level I | Level I | Level II | Level III |
|---|--------------------------------|--|---|--|
| Facility | Clinic / health centre | District hospital | Regional Hospital | Tertiary Hospital |
| No of beds | 1 | 4 / 1000 births in the sub-district | 4 / 1000 births / sub-district + 2 / 1000 births in the district | 4 / 1000 births / sub-district + 2 / 1000 births in the district + 0.5 / 1000 birth / province |
| Neonatal care that should be delivered. ** See table 4 | Routine care Initiating KMC | Routine care Kangaroo Mother Care (KMC) Standard inpatient (SIC) High care (HC) | Routine care Kangaroo Mother Care Standard care High Care Intensive care (Short term) | Routine care Kangaroo Mother Care Standard care High Care Intensive care |
| Ratio of beds / 10* KMC : SIC :HC: ICU | * Approximate ratio | KMC : SIC : HC 4 : 4 : 2 | KMC : SIC: HC : ICU 4 : 3 : 2 : 1 | KMC : SIC : HC : ICU 2 : 3 : 3 : 2 |

Table 4: Care required

| | Routine care | Standard care | High care | Intensive and highly specialised care |
|---------------------------------|--|--|--|--|
| Category of baby requiring care | <ul style="list-style-type: none"> • Most Full term infants • Most low birth weight infants > 2kg | Babies with: <ul style="list-style-type: none"> • Low Apgars • Congenital abnormalities • LBW 1500 – 1999g • A Gestational age 32 – 36 wks • Birth weight >4000g • Meconium staining • Wasting • Possible infection • Jaundice | Babies with: <ul style="list-style-type: none"> • LBW < 1500g • Gestational age < 32wks • Encephalopathy • Meconium aspiration • Septicaemia / meningitis • Recurrent apnoea • Moderate and severe respiratory distress • Convulsions • Severe jaundice | Babies with: <ul style="list-style-type: none"> • A need for assisted ventilation • Complex Surgical problems • Persistent hypoglycaemia • Cardiovascular problems • Multisystem problems • Problems requiring specialist intervention e.g. ambiguous genitalia |
| Care provided | <ul style="list-style-type: none"> • Safe, clean delivery • Newborn resuscitation • Identification • Apgar score • Vitamin K, eye care, cord care • Thermal support • Emergency care • Assess growth • Full examination • Breast feeding • Immunisation • Care of baby exposed to HIV, TB and Syphilis • Education and follow up plan | In addition to routine care: <ul style="list-style-type: none"> • Thermal Support • Oxygen Support • Glucose Monitoring • IV Fluid administration • Tube feeding • Bilirubin monitoring and Phototherapy • Drug administration | In addition to routine and standard care: <ul style="list-style-type: none"> • Cardio-respiratory monitoring • Oxygen therapy > 40% Head box • Nasal prong CPAP • Short term IPPV • Blood transfusion • Chest drains • Exchange blood transfusion | In addition to other neonatal care: <ul style="list-style-type: none"> • IPPV • Total parenteral Nutrition • Arterial catheterization • Therapeutic cooling • Advanced neurological monitoring • Ultrasound and Echo-cardiography • Sophisticated diagnostic investigation • Sub-specialist consultation • Neonatal surgical intervention |

Table 5: Newborn facilities

| Level of care | Level I (Clinic / Community Health Centre) | Level I (District) | Level II (Regional hospital) | Level III (Tertiary hospital) |
|---|--|---|---|---|
| <i>Facility required</i> | Emergency space next to resuscitation | A single neonatal unit with areas for different levels of care. The neonatal unit is best situated between the labour ward and postnatal ward. | | |
| <i>Facility design</i> | | <ul style="list-style-type: none"> • The neonatal unit is ideally be in one area, with a central nurses station • Glass partitions by grade of care with 6 – 8 babies in an area • The area should be restricted to general traffic. • A dual corridor rather than a central corridor is ideal. • The KMC unit is part of the neonatal unit and is ideally interleading or adjacent. It should have a bathroom, and lounge / diningroom area. • All mothers should have lodger facilities nearby. | | |
| <i>Areas required in the unit</i> | Next to resuscitation | <ul style="list-style-type: none"> • KMC • Standard care (SC) • High care (HC) • Lodger mothers • Counselling room • Central Nurses station • Utility / storage areas • Unit manager's office • Staff rest room • Milk preparation area | <ul style="list-style-type: none"> • KMC • Standard care (SC) • High care (HC) • Intensive Care (NICU) • Lodger mothers • Counselling room • Central Nurses station • Utility & storage rooms • Unit manager's office • Staff rest room • Milk preparation area • Doctors Office • Meeting room • Doctors overnight | <ul style="list-style-type: none"> • KMC • Standard care (SC) • High care (HC) • Intensive care (NICU) • Lodger mothers • Counselling room • Nurses stations • Utility&storage rooms • Unit manager's office • Staff rest room • Milk preparation area • Doctors Offices • Meeting room • Doctors overnight |
| <i>Minimum Space required for each level of care</i> | 7.2m ² / mother and baby | <ul style="list-style-type: none"> • 6m² / baby for SIC • 7.2 m² / mother and baby for KMC • 7.2 - 10 m² / HC | <ul style="list-style-type: none"> • 6m² / baby for 6IC • 7.2 m² / mother and baby for KMC • 7.2 - 10 m² / HC • 10 - 15 m² / ICU | <ul style="list-style-type: none"> • 6 m² / baby for SIC • 7.2 m² / mother and baby for KMC • 7.2 - 10 m² / HC • 10 - 15 m² / ICU |
| <i>Hand washing sinks with elbow or foot controls</i> | 1 per 6 Beds or per cubicle | 1 per 6 Beds or per cubicle | 1 at entrance of unit PLUS 1 per 6 Beds | 1 at entrance of unit PLUS 1 per 6 beds |

| Level of care | Level I (Clinic / Community Health Centre) | Level I (District) | Level II (Regional hospital) | Level III (Tertiary hospital) |
|-------------------|--|---|---|---|
| Temperature | ~24° C | ~24° C | ~24° C | ~24° C |
| Heating / cooling | Heaters | Air-conditioning | Air-conditioning | Air-conditioning |
| Lighting | Daylight White fluorescent light White / off white walls | Daylight White fluorescent White /off white walls | Daylight White fluorescent White/off-white walls | Daylight White fluorescent White/off white walls |
| Electrical points | | KMC 4 / bed IC 4 - 6 / bed HC 6 – 8 / bed | KMC 4 / bed IC 4 - 6 / bed HC 6 – 8 / bed ICU 12 / bed | KMC 4 / bed IC 4 - 6 / bed HC 6 – 8 / bed ICU 12 / bed |
| Oxygen points | 1 O ₂ point per / 1000 deliveries / year, plus 1 | 1 per KMC / SC beds 2 per HC beds | 1 per KMC / SC beds 2 per HC beds | 1 per KMC / SC beds 2 per HC / ICU beds |
| Air points | | 1 per HC bed | 1 per HC bed | 1 per HC and ICU bed |
| Suction points | | 1 per 2 IC beds 1 per HC bed | 1 per 2 IC beds 1 per HC / ICU beds | 1 per 2 IC beds 1 per HC / ICU beds |

3. EQUIPMENT

The equipment required is outlined in the table. Buy good quality equipment and ensure adequate in-service training on its use as well as an efficient system of repairing equipment.

| Equipment | Maternity service Clinic, Labour ward, Theatre and postnatal ward | Level I Neonatal Unit | Level II Neonatal Unit | Level III Neonatal Unit |
|--|--|-----------------------------|--------------------------------------|--------------------------------------|
| Incubators, bassinets, and general neonatal equipment | | | | |
| Closed incubator | | 1 per SC bed | 1 per SC bed | 1 per SC bed |
| Bassinet (Washable) | 4 per 1000 deliveries | 1 per SC bed | | |
| Transport incubator | 1 per 3 labour ward beds 2 per maternity theatre | | | |
| Overhead servo incubator | 0 | 1 per HC bed | 1 per HC / ICU bed | 1 per HC / ICU bed |
| Heat Shield | 0 | 1 per HC bed | 1 per HC / ICU bed | 1 per HC / ICU bed |
| Phototherapy units | 1/ Health centre 1/ 6 postnatal beds | 1 per 2 NNU beds | 1 per 2 NNU beds | 1 per 2 IC and HC beds |
| Transcutaneous bilirubin meter | 1 / Health centre 1 / Postnatal ward | 1 per NNU | 1 for KMC and SC 1 for HC and ICU | 1 for KMC and IC 1 for HC and ICU |
| Electronic scale | 1 per clinic 1 per 6 labour ward beds 1 per 12 postnatal ward beds | 1 per Neonatal unit cubicle | 1 per Neonatal unit cubicle | 1 per Neonatal unit cubicle |

| Equipment | Maternity service Clinic, Labour ward, Theatre and postnatal ward | Level I Neonatal Unit | Level II Neonatal Unit | Level III Neonatal Unit |
|---|--|----------------------------------|---|---|
| Equipment for respiratory support and oxygen therapy | | | | |
| Ventilators (Complete) | | 0 | 1 – 2 for short term ventilation | 1 per ICU bed |
| Nasal CPAP (Complete) | | 1 per HC bed | 1 per HC bed | 1 per HC bed |
| Head boxes | 1 per Clinic 1 for Labour Ward 1 for Postnatal Ward | 1 per IC and HC bed | 1 per IC and HC bed | 1 per IC and HC bed |
| Pulse oximeters* | 1 per Health Centre 1 for Labour ward 1 for postnatal ward | 1 per HC beds 1 per 2 SC beds | 1 per HC beds 1 per 2 SC beds | 1 per HC / ICU beds 1 per 2 SC beds |
| Oxygen blender | | 1 per HC bed | 1 per HC bed | 1 per HC bed |
| Oxygen analyser | | 1 per 2 HC bed | 1 per 2 HC bed | 1 per 2 HC bed |
| Apnoea monitors | | 1 per 2 HC bed | 1 per 2 HC bed | 1 per 2 HC bed |
| Trans-illumination light | | 1 | 1 per HC unit 1 per ICU unit | 1 per HC unit 1 per ICU unit |
| Chest drain kit | | 1 | 1 | 2 |
| Fluid controllers and cardiac monitors | | | | |
| Intravenous infusion controllers | | 1 per NNU bed | 1 per NNU bed | 1 per NNU bed |
| Multi-parameter monitors | | 1 per HC bed | 1 per HC / ICU bed | 1 per HC / ICU bed |
| BP monitor – portable | | 1 | 1 | 1 |
| Syringe pumps | | | 1 per ICU bed | 1 per ICU bed |
| Mobile suction apparatus | 1 per clinic | 1 per Neonatal unit | 1 per 6 beds | 1 per 6 beds |
| Mobile X Ray | | 1 in the hospital | 1 in the unit | 1 in the unit |
| Ultrasound machine | | | 1 mobile with infant probe available to the neonatal unit | 1 in the unit with neonatal probes, including echo- cardiography |
| Blood gas analyser | | 1 in large hospitals | 1 in the hospital | 1 in the unit |

| Equipment | Maternity service Clinic, Labour ward, Theatre and postnatal ward | Level I Neonatal Unit | Level II Neonatal Unit | Level III Neonatal Unit |
|--|--|--|--|--|
| Resuscitation equipment | | | | |
| Resuscitaire | 1 per clinic 1 per labour ward bed 2 per theatre 1 per postnatal ward | 1 per unit | 1 per unit | 1 per unit |
| Self-inflating neonatal bag and mask and masks (sizes 00, 0, 1) | 2 per resuscitaire 2 per advanced resuscitation trolley | 2 per advanced resuscitation trolley | 2 per advanced resuscitation trolley | 2 per advanced resuscitation trolley |
| Suction catheters | Size 10 3 per advanced resuscitation trolley 3 at each labour ward bed | Size 10 3 per advanced resuscitation trolley 3 at each labour ward bed | Size 10 3 per advanced resuscitation trolley 3 at each labour ward bed | Size 10 3 per advanced resuscitation trolley 3 at each labour ward bed |
| Advanced Resuscitation trolley | 1 per health centre 1 per 6 labour ward beds | 1 per unit | 1 per 6 HC / IC beds | 1 per 6 HC / IC beds |
| Neopuff | | | 1 per ICU unit | 1 per ICU unit |
| Laryngoscope handle and straight miller blade size 00, 0, 1, spare batteries | 1 per health centre 1 per 6 labour ward beds | 1 per advanced resuscitation trolley | 1 per advanced resuscitation trolley | 1 per advanced resuscitation trolley |
| Endotracheal tubes | 4 sizes 2.5, 3.0, 3.5 and 4,0 per resuscitation trolley | 4 sizes 2.5, 3.0, 3.5 and 4,0 per resuscitation trolley | 4 sizes 2.5, 3.0, 3.5 and 4,0 per resuscitation trolley | 4 sizes 2.5, 3.0, 3.5 and 4,0 per resuscitation trolley |
| Introducer | 1 per advanced resuscitation trolley | 1 per advanced resuscitation trolley | 1 per advanced resuscitation trolley | 1 per advanced resuscitation trolley |
| Mcgills forceps | 1 per advanced resuscitation trolley | 1 per advanced resuscitation trolley | 1 per advanced resuscitation trolley | 1 per advanced resuscitation trolley |

| Equipment | Maternity service Clinic, Labour ward, Theatre and postnatal ward | Level I Neonatal Unit | Level II Neonatal Unit | Level III Neonatal Unit |
|---|--|--|--|--|
| Consumables | | | | |
| Oxygen tubing* | 2 per oxygen point | 2 per oxygen point | 2 per oxygen point | 2 per oxygen point |
| Nasal prongs* | 2 neonatal and preterm per clinic 2 neonatal / preterm per oxygen point | 2 neonatal / preterm per oxygen point | 2 neonatal / preterm per oxygen point | 2 neonatal / preterm per oxygen point |
| Venturi's* | 1 full set per oxygen point | 1 full set per oxygen point in SC / HC | 1 full set per oxygen point in SC / HC | 1 full set per oxygen point in SC |
| CPAP circuit | | 4 circuits / machine available for reuse | 4 circuits / machine available for reuse | 4 circuits / machine available for reuse |
| Ventilator circuits | | 4 circuits / machine available for reuse | 4 circuits / machine available for reuse | 4 circuits / machine available for reuse |
| Neonatal saturation probes | 2 per machine available for reuse | 2 per machine available for reuse | 2 per machine available for reuse | 2 per machine available for reuse |
| Neonatal incubator temperature probes | | 1 spare per servo incubator | 1 spare per servo incubator | 1 spare per servo incubator |
| Infusion sets | 5 x 60 dpm set | 60 dpm or Correct set for infusion controller | 60 dpm or Correct set for infusion controller | 60 dpm or Correct set for infusion controller |
| IV cannulas | 5 x 24 and 22 G | Many 24 and 22 G | Many 24 and 22 G | Many 24 and 22 G |
| Dial – a – flow | 5 per clinic 5 in labour ward, and postnatal ward | Infusion controllers are preferable | Infusion controllers are preferable | Infusion controllers are preferable |
| Consumables for bilicheck | | | | |
| IV fluids | <ul style="list-style-type: none"> • 10% Neonatolyte, • N Saline, • 10% dextrose • 5% dextrose | <ul style="list-style-type: none"> • 10% Neonatolyte, • N Saline, • 10% dextrose • 5% dextrose | <ul style="list-style-type: none"> • 10% Neonatolyte, • N Saline, • 10% dextrose • 5% dextrose | <ul style="list-style-type: none"> • 10% Neonatolyte, • N Saline, • 10% dextrose • 5% dextrose |
| Feeding equipment | | | | |
| Breast pumps | Not recommended in clinics and hospitals as they are difficult to clean and sterilise. Express milk by hand into a cup | | | |
| Equipment for flash heat treating milk 2 plate stove, aluminium pots | | 1 per 12 beds | 1 per 12 beds | 1 per 12 beds |
| 200ml and 50ml feeding cup | 4 per 10 deliveries | 8 per bed | 8 per bed | 8 per bed |
| Disinfection | Autoclave at clinic | Autoclave | Autoclave and gas steriliser | Autoclave and gas steriliser |

1. STAFFING, SKILLS, GUIDELINES AND TRAINING

Nurseries at Level II and III hospitals and larger Level I hospitals need permanent nursing staff whose only duty is the care of ill neonates. Professional nurses at level II and III facilities should be trained in Neonatal Intensive Care.

Table 7. Staff requirements: skills, guidelines and training

| | Level I (Clinic / Community Health Centre) | Level I (District) | Level II (Regional hospital) | Level III (Tertiary hospital) |
|--|---|---|---|--|
| <i>Medical Staff</i> | | Medical officer | Medical officer / full time Paediatrician | MO's / Registrars Paediatricians and Neonatologist |
| <i>Nursing staff requirements</i> | Professional nurses with midwifery training, EN / ENAs | Permanent nurses (Professional nurses and EN / ENA) | Permanent nurses PN with Neonatal (NICU) training | Permanent nurses PN with Neonatal (NICU) training |
| <i>Nurses per shift</i> | | 1 PN per 6 babies in SIC 1 PN per 3 HC babies 1 Nurse per 6 KMC | 1 PN per 3 HC / ICU babies 1 PN per 6 beds 1 Nurse per 3 HC babies 1 Nurse per 6 babies SIC, KMC | 1 PN per 2 ICU 1 PN per 3 HC babies 1 nurse per 3 ICU / HC babies 1 nurse per 6 babies SIC, KMC |
| <i>Skills required</i> | <ul style="list-style-type: none"> • Resuscitation of newborn • Examination of newborn • Routine care • Observation • Measure blood glucose and treat hypoglycaemia • Commence IV infusion • Monitor and maintain baby's temperature • Assess breast feeding • Administer oxygen and monitor • Kangaroo Mother Care | <ul style="list-style-type: none"> • As for level clinic + : • administer parenteral antibiotics • nasogastric feeding • provide phototherapy and monitor bilirubin • Perform lumbar punctures, U&E, Ca, Mg, FBC • Nasal Prong CPAP | <ul style="list-style-type: none"> • As for District Hospital + • chest drains • cardiorespiratory monitoring • Initiate IPPV | <ul style="list-style-type: none"> • As for regional hospital + • Ventilator support • Ultrasound examination • Total parenteral nutrition • Exchange transfusions • Care of neonates with surgical problems |
| <i>Professional nurse competency required</i> | Midwifery Neonatal resuscitation Routine Newborn Care | Midwifery Neonatal resuscitation Routine Newborn Care Basic newborn training | Midwifery Neonatal resuscitation Routine Newborn Care Basic newborn care Neonatal Intensive care | Midwifery Neonatal resuscitation Routine Newborn Care Basic newborn care Neonatal Intensive care |
| <i>In-service training or self study courses recommended</i> | Routine newborn care (LINC) Helping Babies Breathe PMTCT Lactation management PEP2 – Primary newborn care | Routine newborn care Helping Babies Breathe MSSN(LINC) PMTCT Lactation management PEP ² – Newborn Care | RNC (LINC) Helping Babies Breathe MSSN (LINC) NRP or equivalent PMTCT Lactation management PEP ² – Newborn care | RNC (LINC) Helping Babies Breathe MSSN (LINC) NRP or equivalent PMTCT Lactation management PEP – Newborn care |

2 PEP =Perinatal Education Programme, MSSN = Management of Sick and Small Newborns, RNC = Routine Newborn Care, NRP = Neonatal Resuscitation Programme, PMTCT = Prevention of Mother to Child Transmission

PROTOCOLS AND POLICIES

Newborn protocols and policies need to be in place at each hospital. Review and adopt policies and protocols for your service. The following guidelines are recommended.

- Essential Newborn Care Charts: Management of the sick and small newborns in hospital.
- Essential Newborn Care Charts: Routine Newborn Care
- Limpopo Guidelines for Newborn Care
- Standard Treatment Guidelines and Essential Drug List for South Africa: Hospital Level Paediatrics

REFERRALS

Referral policies need frequent review. As services at Level II hospitals develop, more babies with severe problems can be transferred for high care and Neonatal Intensive care. There are still some services that are only available at the tertiary or quaternary levels and referral should be expedited without unnecessarily going via all the levels. Good communication is essential for referral services to work well and for equity of care. The following must exist.

- Telephones at all facilities.
- District hospitals and clinics to have access to ambulances with portable incubators and portable oxygen to transport ill neonates.
- Regional and tertiary hospitals to have access to ambulances with advanced neonatal care including portable incubators, IV flow controllers, pulse oximeters and ventilators

NEWBORN RECORDS.

A uniform newborn admission record as that developed for Limpopo Province:

- Ensures that there is adequate perinatal information
- Facilitates the management of patients
- Diminishes missed opportunities
- Makes transfer of patients more efficient.

MONITORING AND EVALUATION

Regular monitoring and evaluation of the neonatal service involves the following

- Correct documentation of births and perinatal deaths in the maternity register and then in DHIS and PPIP
- Review of perinatal deaths using the PPIP format, assessing obstetric cause, neonatal cause of death and avoidable factors
- Complete recording of Neonatal Admissions in the Neonatal Admission Register, and Neonatal Deaths in the Neonatal Death Register
- Monthly summary of Neonatal admission and Deaths
- Meetings within 24 hours of a death with the relevant role players to determine the cause of death
- Monthly neonatal and perinatal improvement meetings to discuss progress with improvements, new improvements, good and adverse outcomes.
- Documentation of Birth Defects in Monthly Summary Form and Notification