

## SYSTEMATIC REVIEWS

# When do newborns die? A systematic review of timing of overall and cause-specific neonatal deaths in developing countries

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About 99% of neonatal deaths occur in low- and middle-income countries. There is a paucity of information on the exact timing of neonatal deaths in these settings. The objective of this review was to determine the timing of overall and cause-specific neonatal deaths in developing country settings. We searched MEDLINE via PubMed, Cochrane CENTRAL, WHOLIS and CABI using sensitive search strategies. Searches were limited to studies involving humans published in the last 10 years. A total of 22 studies were included in the review. Pooled results indicate that about 62% of the total neonatal deaths occurred during the first 3 days of life; the first day alone accounted for two-thirds. Almost all asphyxia-related and the majority of prematurity- and malformation-related deaths occurred in the first week of life (98%, 83% and 78%, respectively). Only one-half of sepsis-related deaths occurred in the first week while one-quarter occurred in each of the second and third to fourth weeks of life. The distribution of both overall and cause-specific mortality did not differ greatly between Asia and Africa. The first 3 days after birth account for about 30% of under-five child deaths. The first week of life accounts for most of asphyxia-, prematurity- and malformation-related mortality and one-half of sepsis-related deaths.

*Journal of Perinatology* (2016) **36**, S1–S11; doi:10.1038/jp.2016.27

## INTRODUCTION

Of the 6.3 million under-five child deaths that occur globally every year, about 44% occur in the neonatal period.<sup>1,2</sup> Millennium Development Goal 4, which stipulates a reduction of two-thirds in the under-five mortality rate by 2015, obviously cannot be achieved without a substantial reduction in the neonatal mortality rate (NMR).

About 99% of neonatal deaths occur in low- and middle-income countries.<sup>3</sup> Unlike the remaining 1% of deaths from resource-replete nations, deaths in these countries do not attract sufficient attention from researchers, policy-makers and other key stakeholders. Indeed, many of them happen at home and are often unrecorded.<sup>3</sup> Consequently, there is a paucity of information on why and when these neonatal deaths occur.

A number of reviews have reported the causes of neonatal deaths in developed and developing countries in the last few years.<sup>1,4</sup> However, the timing of neonatal deaths, particularly the distribution of cause-specific deaths, has not been evaluated in detail. Knowledge of the timing of neonatal deaths may help policy-makers to devise optimal delivery strategies of interventions that are proven to be effective in reducing the NMR. For example, if an intervention such as postnatal contacts between mother–infant dyads and health-care providers is known to reduce sepsis-related neonatal mortality rates, prior knowledge of the timing of sepsis-related deaths would help determine the optimal frequency and timing of delivery of that intervention.

Only a few studies have reported the timing of neonatal deaths in developing country settings. No efforts appear to have been

made to synthesize the data from these studies in a systematic manner. In the Neonatal Survival Series paper published in *The Lancet* in 2005, Lawn *et al.*<sup>3</sup> reported the daily risk of death in the first month of life based on the analysis of 47 data sets from the Demographic and Health Surveys of different countries pertaining to the period between 1995 and 2003. Given the need for recent information in a simpler format (that is, proportions rather than 'risk of death per 1000 survivors' at different time points), the inherent limitations of data from the household surveys, and the lack of information on the timing of cause-specific neonatal deaths, we conducted this systematic review on the distribution of overall and cause-specific deaths in the first 28 days of life.

## METHODS

## Types of studies/participants

All community-based studies from developing countries that reported the timing of overall or cause-specific neonatal deaths were eligible for inclusion in this review. We used the list by the United States Agency for International Development in 2012 for the identification of developing countries.<sup>5</sup>

## Outcome measures

The outcome variable was the distribution of overall and cause-specific deaths in the first 4 weeks of life. For cause-specific deaths, we looked at the distribution of the four major causes: perinatal asphyxia; sepsis; prematurity; and congenital

malformations. For the purpose of the review, we accepted the definitions used by the authors of the individual studies to define the individual causes of death. The single most important cause of death, as defined/classified by the study authors, was used if multiple causes were assigned. We included deaths due to septicemia, pneumonia, tetanus and diarrhea under sepsis-related deaths.

#### Search methodology

Two review authors (CKN and MJS) independently searched Medline (1966 to June 2012) via PubMed, Cochrane CENTRAL (The Cochrane Library, Issue 6, June 2012) and WHOLIS (1986 to June 2012). We used two strategies to search these databases: ('Infant, Newborn'[MeSH]) AND (death OR mortality) AND (causes OR timing); (neonatal mortality) AND (causes) AND (distribution). Searches were limited to human studies published in the last 10 years. In addition, we reviewed the reference lists of studies identified by the above methods. We updated the search in December 2013. We also searched CABI Global Health database (December 2013).

We scanned the title and abstract of the retrieved citations to exclude those that were obviously irrelevant. We obtained the full text of the remaining studies to identify the relevant articles.

#### Data extraction

Data extraction was carried out using a form designed and pilot tested by the authors. Three authors (CKN, RRD and MJS) independently extracted the data from included studies, including year, setting (country, type of population, socioeconomic status, baseline neonatal mortality, gestation and birth weight of infants) and results (number of deaths, timing, causes and so on). Disagreements in extracted data were resolved through discussion with the fourth author (RA).

#### Data abstraction and synthesis

The following list depicts the steps used to summarize the data from the included studies.

1. We first made a master chart with the day of life in columns and different studies in rows. For the first week of life, we made columns for each day—that is, day 0, day 1 and so on. For the next 3 weeks, we made columns for the week(s) rather than days (week 2 and weeks 3 to 4), as it was unlikely that the studies would have provided daily mortality rates for the second week onwards.
2. As it was likely that different studies would have used different terminologies to describe the day of birth, we assumed the following to ensure uniformity across the studies:

We considered 0 to 23 h as day 0 of life, 24 to 47 h as day 1, 48 to 71 h as day 2 and so on for studies that mentioned the age in hours.

For studies that did not mention the age in hours but reported it as < 1 day, day 1, day 2 and so on, < 1 day was considered as 'day 0'.

For those studies that did not report either of these two, we examined whether they had reported days 1 to 28 or days 0 to 27 as the neonatal period. For studies reporting deaths from days 1 to 28, we considered day 1 of the study to be 'day 0' for our analysis. Similarly, day 2 was considered as 'day 1', day 3 as 'day 2' and so on. For studies that reported deaths from day 0 to day 27, we used the data as such—that is, day 0 of the study is 'day 0'. For those studies that provided data for 0 to 28 days, we included day 7 figures in the data for the second week.

3. We then attempted to pool the data for different time points. For pooling, we included only those studies that provided data for at least three time points in the first 4 weeks of life (for example, day 1, day 2 and days 3 to 28; days 1 to 3, days 4 to 7, days 8 to 28; and so on). Studies that provided data for only two time points were simply enumerated in the table but not included in the final analysis.
4. Pooling of the data was done in three steps:

Initially, the data from those studies that provided data for all the time points were pooled to obtain the summary estimate (proportion) for each time point.

We then used this summary estimate to calculate the proportion for the missing time points in the other studies (extrapolation). For example, if a study provided data for day 1, day 2 and days 3 to 28, we split the data of 3 to 28 days into data for day 3, day 4, day 5, day 6, week 2 and weeks 3 to 4 based on the proportion obtained from step (i) for these time points.

The data—original and extrapolated—were pooled again to obtain the final estimate for each time point.

5. We made the final graph based on the pooled result for each day in the first week and also for week 1, week 2 and weeks 3 to 4.
6. For cause-specific mortality, the same steps were carried out for each of the major outcomes—asphyxia, prematurity, sepsis/pneumonia/diarrhea, congenital malformations and others. The denominator was the total number of deaths secondary to a particular cause (for example, asphyxia) while the numerator was the number of deaths due to that cause on a given day.

#### Statistical analysis

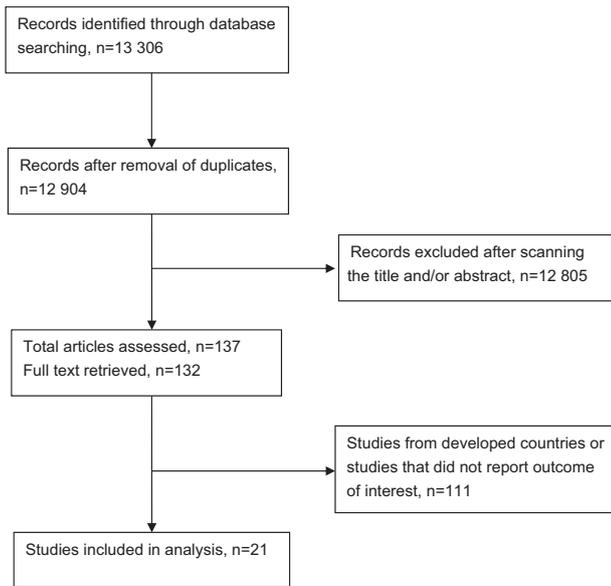
Data from the studies were entered into Microsoft Excel 2007 (Microsoft Corporation, Redmond, CA, USA). We used the user-written 'metan' command in Stata 11.1 (StataCorp, College Station, TX, USA) to pool the results of different studies. We specified the weighting to be used in the meta-analysis by using the 'wgt()' option. The total number of enrolled neonates in a given study was used for assigning weight to that study. We applied continuity correction for zero event studies using a correction factor of 0.1. Subgroup analysis based on World Health Organization (WHO) regions was carried out by disaggregating results with the user-written 'metan' command (by option) in Stata.<sup>6</sup> The final pooled data for each time point were entered into an Excel spreadsheet to generate graphs.

## RESULTS

We retrieved 13 306 citations of which 12 805 articles were excluded after screening the title and abstracts, and removing the duplicates. Of the remaining 137 citations, we screened 132 full-text articles. After exclusion of studies from developed countries, as well as studies that did not report outcomes of interest, 21 were found to be eligible for inclusion in the review (Figure 1). Of them, 15 were prospective,<sup>7–21</sup> 4 were retrospective<sup>22–25</sup> and two were cross-sectional studies.<sup>26,27</sup>

#### Overall neonatal mortality

A total of 16 studies reported day-specific mortality (Table 1).<sup>7–17,22–24,26,27</sup> Data from 14 studies were used to obtain the estimated proportions at the prespecified time points.<sup>7–16,22,23,26,27</sup> Of these, 7 studies provided data for all time points,<sup>7–11,22,26</sup> whereas another 7 provided data only for some.<sup>12–16,23,27</sup> We extrapolated the pooled results of the former seven studies to calculate the proportion of the missing time points in the latter studies (Supplementary



**Figure 1.** Flow of studies.

Appendix 1). Two other studies also provided the distribution of neonatal mortality, but their data could not be included in the final analysis (Table 1).<sup>17,24</sup> Most studies used a verbal autopsy (VA) method to determine the cause of death.

Figure 2 depicts the distribution of overall neonatal deaths in the first 4 weeks of life. Of the total deaths that occurred during the neonatal period, three-fourths were in the first week of life (Figure 2a). The first 3 days of life alone accounted for more than one-half of the total neonatal deaths (Figure 2b).

The results of the two studies whose data could not be included in the final analysis were not very different from the pooled results (Table 1).<sup>17,24</sup> We also pooled the results for Asia and Africa separately, but we did not find any difference in the estimates for the two regions (Supplementary Figure W1).

#### Cause-specific neonatal mortality

Using the search strategy described above, we identified 13 studies that reported cause-specific mortality by day of life (Table 2).<sup>7,9,12–15,17–22,25</sup> We used the data from 7 prospective studies to obtain the estimated proportions for each of the specified time points.<sup>7,9,12–14,18,19</sup> Figures 3a–d depict the distribution of neonatal deaths caused by asphyxia, preterm birth complications, sepsis and congenital malformations, respectively. Almost all studies had assigned the cause of death as per the WHO VA algorithm.

**Asphyxia.** Almost all deaths (98.2%) due to asphyxia occurred in the first week of life (Figure 3a). The first day (day 0) alone contributed about three-fourths of the total asphyxia-related deaths. Less than 2% of these deaths occurred after 72 h of birth.

**Preterm birth complications.** More than four-fifths of deaths due to prematurity (83.2%) occurred in the first week of life; the first day (day 0) contributed around 40%. About 8 to 10% of deaths secondary to prematurity occurred in week 2 and weeks 3 to 4 of life (Figure 3b).

**Sepsis.** Less than one-half of the total deaths secondary to sepsis occurred in the first week of life (Figure 3c). About 30% of these deaths occurred in the second week of life and around one-fourth

in weeks 3 to 4. The distribution of deaths is almost equal in each of the first 7 days after birth.

**Malformations.** The distribution of deaths due to malformations almost mimicked that of deaths due to prematurity. About four-fifths (78.4%) occurred in the first week of life, with the first day (day 0) contributing about 40% (Figure 3d).

Data from another six studies could not be pooled because they were incomplete (that is, available for only a few time points).<sup>15,17,20–22,25</sup> However, the results of these studies did not differ greatly from the pooled results. In subgroup analysis based on the location of countries (Asia or Africa), we did not find any difference in the estimates (Supplementary Figure W1).

## DISCUSSION

We have attempted to provide the approximate breakdown of deaths at different time points in the first 4 weeks of life based on the literature published in the last 10 years. The results are not entirely new, but tend to reaffirm the key findings of earlier reports from international agencies. More importantly, this review provides a summary of the fraction of deaths occurring at each time point in the neonatal period, which should help policy-makers and program managers devise optimal strategies for the delivery of proven interventions.

The three major findings of this review with implications for different stakeholders are the following: (1) the high proportion of neonatal deaths in the first 3 days of life, and in particular, the first day; (2) the majority of deaths due to preterm birth complications and malformations occurring in the first week; and (3) the occurrence of about one-half of deaths secondary to sepsis/pneumonia after the first week of life.

#### High proportion of deaths in the first 3 days of life

More than one-half of neonatal deaths (57%) occur in the first 3 days after birth. Two-thirds of these deaths occur on the first day, that is, within 24 h of birth. Neonatal deaths constitute 52% of the total under-five child deaths in WHO's South-East Asia Region,<sup>28</sup> and about one-third occur in the first 3 days of life. In WHO's African Region, where neonatal deaths constitute 30% of under-five deaths,<sup>28</sup> about 17% occur in this time period. Obviously, Millennium Development Goal 4 cannot be achieved without addressing these deaths.

Reducing mortality in the first few days after birth calls for scaling-up of evidence-based interventions beginning in the antenatal period. Unfortunately, coverage of most interventions during pregnancy and birth is less than optimal in many low- and middle-income country settings. The median coverage of two key interventions—at least four antenatal visits and presence of a skilled birth attendant at delivery—is about 50% in the 68 countdown countries.<sup>29</sup> The coverage of postnatal visits within 2 days of birth for babies born outside a health facility is even lower, ranging from 2 to 19%, in those countries for which data are available.<sup>29</sup> Notwithstanding the huge variation in coverage figures between the individual countries, the median data do underscore the need for coordinated efforts aimed at improving the quality of antenatal care, promoting institutional deliveries, providing optimal basic and emergency obstetric care, and ensuring immediate postnatal care of neonates in these settings.

In the last few years, many countries have made substantial progress in achieving high coverage rates for some interventions. The most striking example is the coverage of skilled care at birth and institutional deliveries. However, these gains have not resulted in the expected magnitude of benefit in terms of reduction in NMR or early NMR (that is, mortality in the first week) in many settings,<sup>30</sup> the possible reasons being suboptimal quality of care provided in health facilities and lack of a concomitant

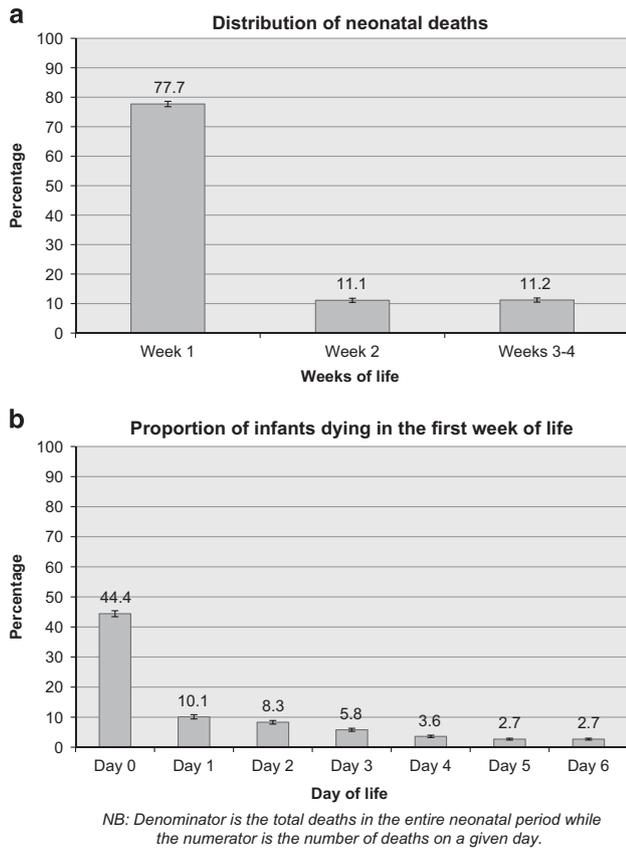
**Table 1.** Summary of studies that reported day-specific mortality

Study/country	Methods	Study population	Sample size	Summary	Results	Quality	Limitations/comments
Baqui <i>et al.</i> , <sup>7</sup> India	Prospective	Data from two districts of Uttar Pradesh	Neonatal deaths: 618	Data collected by data collectors Cause of death assigned by WHO VA algorithm	Day 0: 197 (31.8%) Day 1: 48 (7.8%) Day 2: 62 (10%) Day 3: 46 (7.4%) Day 4: 25 (4%) Day 5: 28 (4.5%) Day 6: 31 (5%) Week 2: 92 (14.9%) Weeks 3–4: 89 (14.4%)	Moderate (prospective data collection)	
ICMR Young Infant Study Group, <sup>26</sup> India	Cross-sectional survey from January to July 2003	Data from rural sites in five states of Uttar Pradesh	Neonatal deaths: 1521	VA	Day 0: 598 (39.3%) Day 1: 111 (7.3%) Day 2: 155 (10.2%) Day 3: 94 (6.2%) Day 4: 84 (5.5%) Day 5: 43 (2.8%) Day 6: 43 (2.8%) Week 2: 192 (12.6%) Weeks 3–4: 199 (13.1%)	Low	
Chowdhury <i>et al.</i> , <sup>22</sup> Bangladesh	Retrospective; 2003–2004	Data from HDSS	Neonatal deaths: 365	VA by interviewers Three physicians assigned the cause of death Agreement of at least two physicians required	Day 0: 136 (37.2%) Day 1: 57 (15.6%) Day 2: 56 (15.3%) Day 3: 30 (8.2%) Day 4: 8 (2.2%) Day 5: 6 (1.6%) Day 6: 6 (1.6%) Week 2: 34 (9.3%) Weeks 3–4: 32 (8.7%)	Low	Population-based data; no details on place of delivery
Welaga <i>et al.</i> , <sup>8</sup> Ghana	Prospective, 2003–2009	Data from Navrongo Health Research Centre's HDSS	Neonatal deaths: 424	Validated VA by trained field supervisors Three physicians reviewed the VA forms and assigned cause of death Agreement of at least two physicians needed	Day 0: 119 (28%) Day 1: 55 (13%) Day 2: 21 (5%) Day 3: 30 (7%) Day 4: 17 (4%) Day 5: 21 (5%) Day 6: 17 (4%) Week 2: 64 (15%) Weeks 3–4: 85 (20%)	Moderate (prospective data collection)	Only proportion of day-wise neonatal deaths available from study (Figure 4). Actual numbers extrapolated from proportions
Nga <i>et al.</i> , <sup>9</sup> Vietnam	Prospective; July 2008–June 2010	Data collected from 14 districts of Quang Ninh Province, Vietnam as part of the Knowledge into Practice for Improving Neonatal Survival (NeoKIP) project	Neonatal deaths for whom VA performed: 233	VA using questionnaire derived from WHO Cause of death assigned by three experienced pediatricians reviewing forms independently	Day 0: 136 (57.1%) Day 1: 15 (6.4%) Day 2: 14 (6%) Day 3: 6 (2.6%) Day 4: 4 (1.7%) Day 5: 6 (2.6%) Day 6: 7 (3.0%) Week 2: 24 (10.3%) Weeks 3–4: 21 (9.1%)	Moderate (prospective data collection)	
Belizán <i>et al.</i> , <sup>10</sup> multicenter	Prospective; October 2009–March 2011	Seven sites in six countries: Argentina, Guatemala, Kenya, India, Pakistan and Zambia	Neonatal deaths: 3882	Conducted by Global Network for Women and Children's Health Research	Day 0: (2019) 52% Day 1: (427) 11% Day 2: (272) 7% Day 3: (194) 5% Day 4: (116) 3% Day 5: (78) 2% Day 6: (78) 2% Week 2: (349) 9% Week 3: (233) 6% Week 4: (116) 3%		Data extrapolated from Figure 1 in the original study
Khatun <i>et al.</i> , <sup>11</sup> Bangladesh	Prospective; January 2008–December 2009	Urban slums in Bangladesh	Neonatal deaths: 260	VA—cause of death assigned by physicians	Day 0: (94) 36% Day 1: (31) 12% Day 2: (23) 9% Day 3: (16) 6%		Data extrapolated from Figure 2 in the original study

Table 1. (Continued)

Study/country	Methods	Study population	Sample size	Summary	Results	Quality	Limitations/comments
Campbell <i>et al.</i> , <sup>23</sup> Egypt	Retrospective	Egypt DHS (January 1998–April 2000)	Neonatal deaths: 117	Three methods were compared: WHO VA Revised Wigglesworth classification Consensus by two neonatologists	Day 4: (8) 3% Day 5: (10) 4% Day 6: (10) 4% Week 2: (39) 15% Week 3: (17) 6.5% Week 4: (12) 4.5% Day 0: 35 (29.9%) Day 1: 8 (6.8%) Day 2: 16 (13.7%) Day 3: 13 (11.1%) Day 4: 6 (5.1%) Day 5: 6 (5.1%) Day 6: 5 (4.3%) Weeks 2–4: 28 (23.9%)	Low	
Bang <i>et al.</i> , <sup>12</sup> India	Prospective; 1995–1996	Rural India	Neonatal deaths: 40	Cause of death assigned by neonatologist based on maternal and neonatal records	Day 0–2: 14 (35%) Days 3–6: 9 (22.5%) Days 8–14: 11 (27.5%) Days 15–28: 6 (15%)	Moderate (prospective data collection)	
Edmond <i>et al.</i> , <sup>13</sup> Ghana	Prospective; January 2003–June 2004	Central Ghana	Neonatal deaths: 590	VA	Day 0: 242 (41%) Days 2–7: 195 (33.1%) Days 8–28: 153 (25.9%)	Moderate (prospective data collection)	
Waiswa <i>et al.</i> , <sup>14</sup> Uganda	Prospective; January 2005–December 2008	Iganga/Mayuge districts	Neonatal deaths: 64	VA	Day 0: 30 (46.8%) Day 1: 8 (12.5%) Days 3–28: 26 (40.6%)	Moderate (prospective data collection)	
Bapat <i>et al.</i> , <sup>15</sup> India	Prospective; 2005–2007	48 slum settlements in Mumbai	Newborn deaths: 210 (but data only available for 116)	VA by trained field researchers Cause of death classified by clinicians	Day 0: 40 (34.5%) Day 1: 12 (10.3%) Day 2: 11 (9.5%) Days 3–6: 24 (20.7%) Weeks 2–4: 29 (25%) Day 0: 22 (44%) Days 1–6: 16 (32%) Weeks 2–4: 12 (24%)	Moderate (prospective data collection)	
Upadhyay <i>et al.</i> , <sup>27</sup> India	Cross-sectional study 2010	Data from 28 villages under Comprehensive Rural Health Services Project	Neonatal deaths: 50	Data collected by interviewing mothers of neonates who died during 2010 using a standardized VA tool Cause of death assigned by three investigators who reviewed forms	Day 0: 15 (41.7%) Day 6: 11 (30.6%) Day 28: 10 (27.8%)	Low	
Niswade <i>et al.</i> , <sup>16</sup> India	Prospective; November 2006–October 2007	Data collected by Neonatal Disease Surveillance study in Ramtek Tehsil, Nagpur	Neonatal deaths: 36	Data collected by study research assistants visiting mothers on days 1, 7 and 28 Active and passive surveillance systems used	Day 0: 15 (41.7%) Day 6: 11 (30.6%) Day 28: 10 (27.8%)	Moderate (prospective data collection)	
Rajindrajith <i>et al.</i> , <sup>24</sup> Sri Lanka	Retrospective	Data from registrar general's office (1997–2001)	Neonatal deaths: 17 946	Cause of death assigned by physicians	Early neonatal death: 90.5% Death on day 1: 32%	—	
Gill <i>et al.</i> , <sup>17</sup> Zambia	Prospective June 2006–November 2008	Data from Lufwanyama district	Neonatal deaths: 100 Control group: 58	Data collected by data collectors; helped by birth attendants Cause of death assigned by WHO VA algorithm	Neonatal deaths in control group Days 0–1: 40 (69%) Days 2–27: 18 (31%)	—	Data from the control group of cluster RCT used

Abbreviations: DHS, Demographic and Health Survey; HDSS, health and demographic surveillance system; ICMR, Indian Council of Medical Research; RCT, randomized controlled trial; VA, verbal autopsy; WHO, World Health Organization.



**Figure 2.** (a) Distribution of overall neonatal deaths (week-wise). (b) Distribution of neonatal deaths in the first week of life.

increase in the coverage of other key interventions in the continuum of care. For example, India witnessed a large rise in institutional delivery rates after the introduction of a conditional cash transfer scheme under the National Rural Health Mission (NRHM) in mid-2005. Despite this, the average annual rate reduction of early NMR showed only a marginal change from 1.9% in the pre-NRHM era (1990 to 2005) to 2.3% after the introduction of NRHM. In contrast, the average annual reduction of late NMR and the post-neonatal infant mortality rate increased by almost threefold from the pre-NRHM to the post-NRHM period (Supplementary Figure W2). Not surprisingly, the magnitude of reduction in India's NMR is not commensurate with that of its infant mortality rate in the last 5 years.<sup>31</sup>

#### Deaths due to prematurity and malformations in the first week of life

Although it is understandable that most deaths due to asphyxia occur in the first week of life, our study results indicate that even deaths secondary to preterm birth complications occur predominantly in this time period. Upon analyzing the contribution of different causes of deaths to total deaths in the first 7 days of life, we found that prematurity-related deaths accounted for almost one-third of the deaths on any given day in the first week, whereas deaths due to asphyxia peak on day 1 but diminish with each passing day (Supplementary Figure W3). This finding implies that the focus of delivery of priority interventions to reduce prematurity-related deaths should not only be on the antenatal and delivery periods but also on the first few days after birth.

Among the various interventions with the potential to reduce mortality due to preterm birth, two postnatal interventions—full supportive care for serious illness and kangaroo mother care in the

facility—are estimated to have the highest impact.<sup>32</sup> Facility-based care of premature and/or small babies born either at home or in a primary health-care facility needs to be strengthened on an urgent basis. Without ensuring optimal quality of care for these babies in specialized newborn care units, it would be almost impossible to make a significant dent in the proportion of prematurity-related deaths. Concomitantly, efforts should be made to increase the coverage of prenatal interventions such as administration of antenatal steroids to pregnant women with threatened preterm labor.

As observed with prematurity, the majority of deaths due to malformations occur in the first week of life. The malformations may have been serious or less critical, but resulted in deaths because of the delay in recognition and lack of optimal treatment facilities. Unlike deaths due to preterm birth complications, malformation-related deaths may not be reduced by simply strengthening facility-based care at the district or subdistrict level; they require establishment of quality referral institutions and ensuring optimal transport services to and from these centers. In most developing country settings, it might not be feasible to strengthen these mechanisms in the short term.

#### Sepsis deaths after the first week of life

About one-half of the deaths due to sepsis occurred after the first week of life. Indeed, the contribution of sepsis deaths to total deaths gradually increased from day 0 to day 6 (Supplementary Figure W3). One possible explanation for this finding is that high rates of tetanus deaths, which usually occur after the first few days of life, inflated the figures of sepsis deaths in the second and third weeks of life. However, given the small number of tetanus deaths in the studies included in this review, this explanation seems unlikely (Table 2). Another possibility is the risk of misclassifying deaths due to prematurity or other causes that occurred after the first week of life as sepsis deaths, as most studies used a VA instrument to determine the cause of deaths.

Notwithstanding the possible risk of misclassification, the results indicate that postnatal care of newborn babies—at home or in the facility—is crucial for reducing deaths due to sepsis. In addition to ensuring clean practices at birth, efforts should be made to improve the coverage of key postnatal interventions such as community-based newborn care and case management of babies with sepsis or pneumonia in the facility/community.<sup>32</sup> More importantly, there is a need to extend the interventions beyond the first week of life—for example, ensuring at least one postnatal contact between mother–infant pairs and health-care providers in the second week of life. This contact would help to reinforce exclusive breastfeeding and cord-care practices, as well as facilitating early identification of babies at higher risk of developing sepsis (for example, those with omphalitis) and arranging timely referral to a facility for management of severe infections.

#### Implications for policy-makers and program managers

The findings of this review have at least three major implications for policy-makers and other key stakeholders in newborn and child health. First, there is an urgent need to improve the coverage—and more importantly the quality—of emergency obstetric care of pregnant women in health facilities. The benefits one would expect with increasing coverage of skilled delivery in most countries cannot be realized without ensuring quality care at birth. Second, the facility-based care of neonates should be strengthened to improve the care of premature and small babies in the first few days of life. This would entail establishment of more special care newborn units, allocating more resources including skilled manpower and finances to existing facilities, ensuring quality of care by implementing standardized treatment protocols and creating mechanisms to transfer such babies from

**Table 2.** Summary of studies that reported cause-specific mortality by day of life

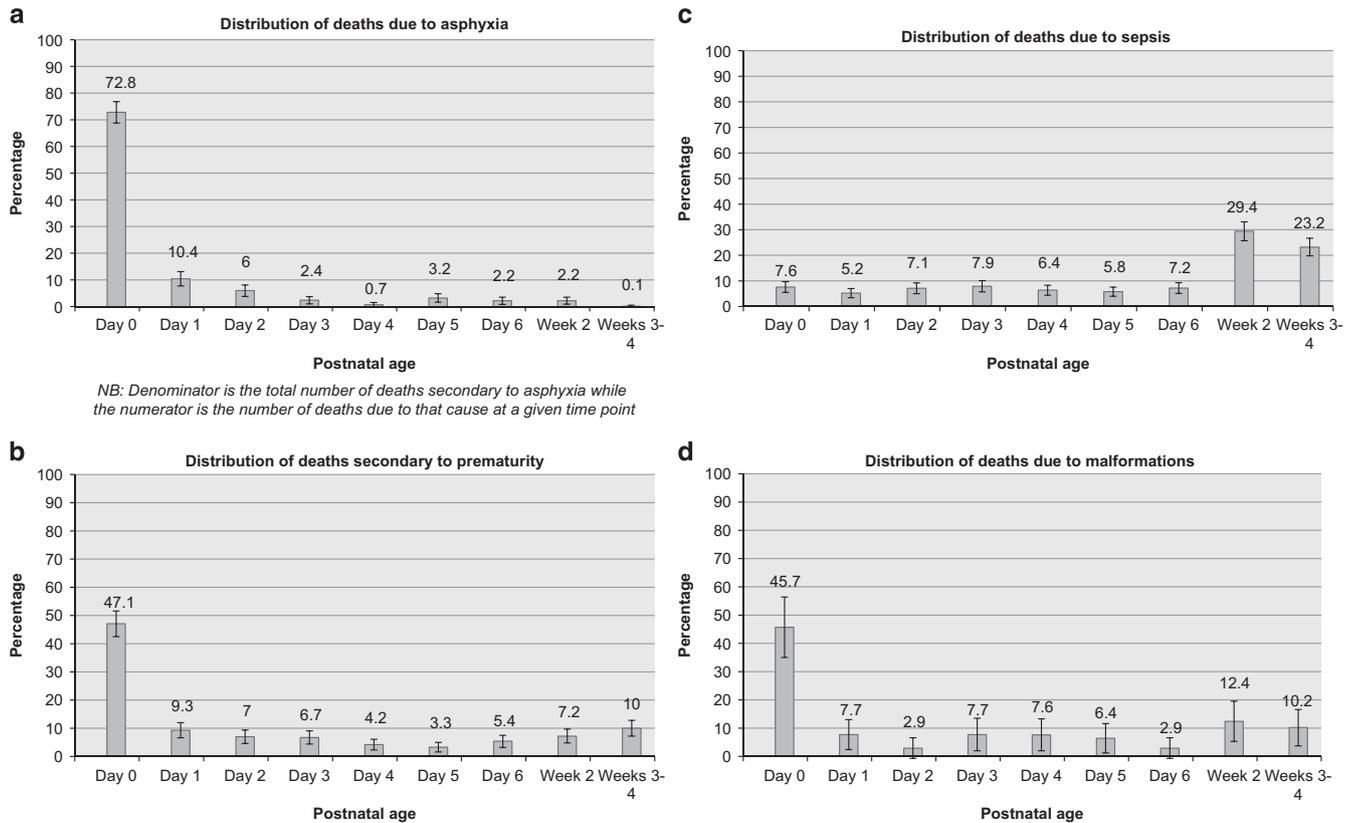
Study/country	Study design	Study population	Sample size	Methods	Results	Comments											
<i>Studies providing data for all the time points (included in initial as well as final analysis)</i>																	
Baqui et al., <sup>7</sup> India	Prospective	Data from two districts of Uttar Pradesh	Neonatal deaths: 618	Data collected by data collectors Cause of death assigned by WHO VA algorithm	<i>Days</i>					<i>Weeks</i>		Deaths secondary to tetanus and diarrhea were included in sepsis deaths					
					0	1	2	3	4	5	6		2	3–4			
					Birth asphyxia/injury (n = 87)	61 (70.1)	6 (6.8)	7 (8.0)	3 (3.4)	1 (1.1)	4 (4.5)		3 (3.4)	2 (2.2)	0		
					Prematurity (n = 166)	52 (31.3)	18 (10.8)	14 (8.4)	14 (8.4)	8 (4.8)	7 (4.2)		11 (6.6)	18 (10.8)	24 (14.4)		
					Sepsis/pneumonia (n = 149)	16 (10.7)	11 (7.3)	14 (9.3)	10 (6.7)	8 (5.3)	7 (4.6)		10 (6.7)	41 (27.5)	32 (21.4)		
					Tetanus (n = 25)	0	0	0	5 (20)	2 (8)	2 (8)		0	10 (40)	6 (24)		
					Diarrhea (n = 10)	1 (10)	0	0	0	0	0		2 (20)	2 (20)	5 (50)		
					Congenital anomaly (n = 40)	19 (47.5)	2 (5)	1 (2.5)	3 (7.5)	2 (5)	2 (5)		1 (2.5)	5 (12.5)	5 (12.5)		
Not identified	48 (36.6)	11 (8.3)	26 (19.8)	11 (8.3)	4 (3)	6 (4.5)	4 (3)	14 (10.6)	7 (5.3)								
Waiswa et al., <sup>14</sup> Uganda	Prospective; January 2005– December 2008	Iganga/Mayug districts	Neonatal deaths: 64	VA Cause	<i>Day 0</i>			<i>Day 1</i>		<i>Days 2–8</i>		Data were included in initial analysis for asphyxia and congenital anomalies; for prematurity and sepsis, data were extrapolated Deaths secondary to tetanus were included in sepsis deaths					
					Birth asphyxia (n = 19)	12 (63.1)	7 (36.8)	0	0	0							
					Prematurity (n = 16)	8 (50)	0	8 (50)	0	0							
					Sepsis/pneumonia (n = 20)	6 (30)	0	14 (70)	0	0							
					Tetanus (n = 2)	0	0	2 (100)	0	0							
					Congenital defects (n = 1)	0	1 (100)	0	0	0							
					Not identified (n = 6)	4 (66.6)	0	2 (33.3)	0	0							
					<i>Studies providing data for at least three time points (but not all time points; included in the final analysis)</i>												
Bang et al., <sup>12</sup> India	Prospective; 1995–1996	Rural India	Neonatal deaths: 40	Cause of death assigned by neonatologist based on maternal and neonatal records	<i>Cause</i>					<i>Days 0–3</i>		<i>Days 4–7</i>		<i>Week 2</i>		<i>Weeks 3–4</i>	
					Birth asphyxia (n = 8)	7 (87.5)	1 (12.5)	0	0	0	0	0	0	0	0	0	0
					Prematurity (n = 6)	6 (100)	0	0	0	0	0	0	0	0	0	0	0
					Sepsis/pneumonia (n = 21)	0	5 (23.8)	11 (52.3)	5 (23.8)	0	0	0	0	0	0	0	0
					Tetanus (n = 0)	0	0	0	0	0	0	0	0	0	0	0	0
					Hypothermia (n = 1)	0	1 (100)	0	0	0	0	0	0	0	0	0	0
					Others/not known	1 (7.1)	2 (22.2)	0	0	0	0	0	0	0	0	0	0
Edmond et al., <sup>13</sup> Ghana	Prospective; January 2003– June 2004	Central Ghana	Neonatal deaths: 590	VA Cause	<i>Day 0</i>		<i>Days 1–6</i>		<i>Weeks 2–4</i>								
					Infection (n = 236)	16 (6.7)	91 (38.5)	129 (54.6)	0	0	0	0					
					Birth asphyxia (n = 196)	148 (75.5)	45 (22.9)	3 (1.5)	0	0	0	0					
					Prematurity (n = 118)	64 (54.2)	43 (36.4)	11 (9.3)	0	0	0	0					
					Congenital defects (n = 16)	6 (37.5)	9 (56.2)	1 (6.2)	0	0	0	0					
					Others (n = 6)	4 (66.7)	0	2 (33.3)	0	0	0	0					
					Unexplained (n = 8)	3 (37.5)	3 (37.5)	2 (25)	0	0	0	0					

Table 2. (Continued)									
Study/country	Study design	Study population	Sample size	Methods	Results				Comments
Jehan et al., <sup>18</sup> Pakistan	Prospective	Data collected from four units of Latifabad, Pakistan	Total live births: 1121 Neonatal deaths: 53	Cause of death assigned by neonatologist as per Pattinson's adaptation of Aberdeen classification	Cause	Days 0–2	Days 3–6	Weeks 2–4	Outcome at 28 days known only for 1121 of the 1280 enrolled women
					Asphyxia (n = 14)	8 (57.1)	6 (42.8)	0	
					Prematurity (n = 14)	11 (78.5)	2 (14.2)	1 (7.14)	
					Infections (n = 12)	0	5 (41.6)	7 (58.3)	
					Congenital defects (n = 4)	0	2 (50)	2 (50)	
					Others (n = 5) Undetermined (n = 4)	1 (20) 2 (50)	2 (40) 0	2 (40) 2 (50)	
Khanal et al., <sup>19</sup> Nepal	Prospective	Morang district in rural Nepal	Neonatal deaths: 183	VA by community health volunteers	Cause	Days 0–3	Days 4–7	Weeks 2–4	
					Birth asphyxia (n = 68)	65 (95.5)	2 (2.9)	1 (1.4)	
					Prematurity (n = 21)	16 (76.1)	5 (23.8)	0	
					Infection (n = 75)	16 (21.3)	27 (36.0)	32 (42.7)	
					LBW-related (n = 8)	6 (75)	0	2 (25)	
					Congenital defects (n = 4)	1 (25)	2 (50)	1 (25)	
					Hypothermia (n = 3) HDN (n = 2) Others (n = 2)	2 (66.7) 0 1 (50)	1 (33.3) 1 (50) 1 (50)	0 1 (50) 0	
					Nga et al., <sup>9</sup> Vietnam	Prospective July 2008–June 2010	Data collected in 14 districts of Quang Ninh Province, Vietnam, as part of NeoKIP	Neonatal deaths for which VA performed: 233	
Birth asphyxia (n = 79)	61 (77.2)	14 (17.7)	4 (5.1)						
Prematurity/LBW (n = 90)	58 (64.4)	15 (16.7)	17 (18.9)						
Infection (n = 31)	0 (0)	14 (45.2)	17 (54.8)						
Congenital defects (n = 16)	9 (56.3)	4 (25)	3 (18.7)						
Others/unknown (n = 17)	8 (47.1)	5 (29.4)	4 (23.5)						
Other studies (not included in the pooled analysis)									
Baiden et al., <sup>25</sup> Ghana	Retrospective	Demographic surveillance system data (1995–2002)	Neonatal deaths: 1068	VA conducted by field workers Cause of death assigned independently by three physicians Agreement of at least two physicians required	Cause	Early	Late		
					Infections	37%	63%		
					Prematurity/LBW	88%	12%		
					Birth injury	97%	3%		
					Infanticide	46%	54%		
					Others	63%	37%		
Ngoc et al., <sup>20</sup> Argentina, Egypt, India, Peru, South Africa, Vietnam	Prospective	Data from multicenter study	Early neonatal deaths: 71	Cause of death assigned by one of the investigators	Early neonatal deaths (n = 71)			WHO multicenter study on calcium supplementation for prevention of pre-eclampsia	
					Prematurity: 43/71 (60.6%)				
					Asphyxia and birth trauma: 16 (22.5%)				

**Table 2.** (Continued)

Study/country	Study design	Study population	Sample size	Methods	Results	Comments
Kalter et al. <sup>21</sup> Palestine	Prospective	Clusters from West Bank, East Jerusalem, Gaza Strip	Neonatal deaths: 68	Data collected by field workers, supervised and cause of death assigned by two investigators	Infection: 1/71 (1.4%) Congenital anomalies: 9/71 (12.7%) Others: 2/71 (2.8%)  Cause Early Late  Asphyxia (n = 7) 6 (85.7) 1 (14.2) Prematurity/LBW (n = 16) 11 (68.7) 5 (31.2) Congenital anomaly (n = 15) 12 (80) 3 (20) Sepsis (n = 10) 7 (70) 3 (30) Others (n = 8) 4 (50) 4 (50)	No details on the place of delivery; respiratory distress syndrome added to prematurity. Cases with two different causes of death not included.
Chowdhury et al. <sup>22</sup> Bangladesh	Retrospective; 2003–2004	Data from HDSS	Neonatal deaths: 365	VA by interviewers. Three physicians assigned cause of death. Agreement of at least two physicians required.	Cause Early Late  Asphyxia (n = 164) 162 (98.7) 2 (1.2) Prematurity/LBW (n = 80) 76 (95) 4 (5) Sepsis (n = 45) 17 (37.7) 28 (62.2) Pneumonia (n = 20) 9 (45) 11 (55) Others (n = 56) 43 (76.7) 13 (23.2)	No details on the place of delivery; respiratory distress syndrome added to prematurity.
Gill et al. <sup>17</sup> Zambia	Prospective; June 2006–November 2008	Data from Lufwanyama district	Neonatal deaths in the control group: 58	Data collected by data collectors; helped by birth attendants. Cause of death assigned by WHO VA algorithm.	Cause Days 0–1 Days 2–27  Serious infection (n = 17) 6 (64.7) 11 (35.2) Birth asphyxia (n = 21) 21 (100) 0 Prematurity (n = 10) 8 (80) 2 (20) Congenital defects (n = 2) 2 (100) 0 Diarrhea (n = 4) 0 4 (100) Others/unknown (n = 4) 3 (75) 1 (25)	Data taken from the control group of cluster RCT.
Bapat et al. <sup>15</sup> India	Prospective; 2005–2007	48 slum settlements in Mumbai	Newborn deaths: 210 (but data available only for 116)	VA by trained field researchers. Cause of death classified by clinicians.	Cause Early (n = 87) (%) Late (n = 29) (%)  Asphyxia (n = 33) 32 (97) 1 (3.0) Prematurity/LBW (n = 27) 27 (100) 0 (0) Sepsis (n = 25) 5 (20) 20 (80) Congenital anomalies (n = 7) 5 (71.4) 2 (28.6) Others/unclassified (n = 24) 18 (75) 6 (25)	

Abbreviations: HDN, hemorrhagic disease of newborn; HDSS, health and demographic surveillance system; LBW, low birth weight; NeoKIP, Knowledge into Practice for Improving Neonatal Survival; VA, verbal autopsy; WHO, World Health Organization.



**Figure 3.** (a) Distribution of deaths due to asphyxia. (b) Distribution of deaths due to prematurity. (c) Distribution of deaths due to sepsis. (d) Distribution of deaths due to congenital malformations.

the community to the facility. Third, the focus of postnatal care should not be restricted to the first week of life alone, particularly in settings with high NMR and a high proportion of sepsis deaths. Postnatal contacts between the health-care providers and the family—in the form of home visits or other mechanisms—should be extended to the second week and, if possible, beyond that.

#### Strengths and limitations

Ours is possibly the first attempt to systematically review the available literature for evaluating the exact timing of overall and cause-specific deaths in the neonatal period in low- and middle-income country settings. All the studies that provided data for the cause-specific deaths were prospective in nature, which reduces the risk of misclassification between stillbirths and neonatal deaths (cf. the estimates from Demographic and Health Survey data sets).<sup>33,34</sup>

This review has some major limitations. First, the number of included studies and the number of deaths in those studies (8316 and 1781, respectively, for overall and cause-specific mortality) are relatively small. Second, five out of seven included studies used a VA instrument to assign the causes of death. The diagnostic accuracy of VA has been found to be poor for neonatal deaths.<sup>35–37</sup> Moreover, the accuracy varies with the VA tool used, its administration, coding and classification of deaths, and cause-specific mortality fractions in a particular area.<sup>38</sup> However, a recently published study from South-East Asia showed a reasonably high accuracy—78 to 92%—of the WHO VA instrument in ascertaining different underlying causes of death.<sup>38</sup> Third, we had to extrapolate the data of one or more time points for a few studies based on the pooled estimates of other studies, which is

likely to have introduced some amount of bias in the final estimates.

#### CONCLUSION

The first 3 days of life account for almost 60% of total neonatal deaths while the first week accounts for almost all asphyxia-related deaths and the majority of prematurity- and malformation-related deaths. About one-half of sepsis-related deaths occur after the first week. These findings indicate the need to improve the quality of care at birth and in the immediate postnatal period, to strengthen the facility-based care of small babies and to extend the scope of postnatal care beyond the first week of life.

#### CONFLICT OF INTEREST

The authors declare no conflict of interest.

#### ACKNOWLEDGEMENTS

We thank Dr Rajiv Bahl, Newborn Health Lead, Department of Maternal, Newborn, Child and Adolescent Health, WHO, Geneva, Switzerland, for his highly valuable comments on the methodology of the review. The Department of Maternal, Newborn, Child and Adolescent Health and Development, World Health Organization, Geneva, Switzerland, funded this review. This supplement was funded by the Department of Maternal, Newborn, Child and Adolescent Health and Development, World Health Organization, Geneva, Switzerland.

#### AUTHOR CONTRIBUTIONS

MJS guided the development of the study protocol, searched the databases, extracted data, performed the statistical analysis and wrote the manuscript.

CKN prepared the protocol, applied the search strategy, retrieved the articles, extracted data and made the initial tables. RRD retrieved the articles, extracted data and wrote the first draft of the paper. AC updated the search, retrieved the articles, extracted data and revised the final manuscript. RA modified the study protocol, supervised data extraction and modified the final version of the manuscript. VKP guided development of the protocol, helped in statistical analysis and finalized the draft manuscript.

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Supplementary Information accompanies the paper on the *Journal of Perinatology* website (<http://www.nature.com/jp>)